



IRT - CONNECTICUT
510 N VALLEY MILLS DRIVE, SUITE 400
WACO, TX 76710-6075

TOWN OF WATERFORD OPEB PRINCIPAL
TRUST COMPANY AS DIRECTED TRUSTEE

TRADE DATE, REVIEWED
ACCOUNT NUMBER 26005100
QUARTERLY STATEMENT
JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

PFCEDD

ACCOUNT CONTACT: TOM WRIGHT
TELEPHONE NUMBER: 515-878-6001

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INVESTMENT AND INSURANCE PRODUCTS ARE:
• NOT INSURED BY THE FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) OR ANY FEDERAL GOVERNMENT AGENCY
• NOT A DEPOSIT, OBLIGATION OF, OR GUARANTEED BY ANY BANK OR BANKING AFFILIATE
• SUBJECT TO INVESTMENT RISKS AND MAY LOSE VALUE, INCLUDING POSSIBLE LOSS OF PRINCIPAL AMOUNT INVESTED

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ASSET VALUATION PRACTICES

VALUES REFLECTED FOR PUBLICLY TRADED ASSETS ARE OBTAINED FROM UNAFFILIATED SOURCES. IN SITUATIONS WHERE AN ASSET VALUE CANNOT BE PROVIDED BY OUR UNAFFILIATED PRICING SOURCES, SUCH AS BUT NOT LIMITED TO NON-PUBLICLY TRADED ASSETS, THE CUSTOMER OR THEIR DESIGNATED REPRESENTATIVE MUST PROVIDE THE UPDATED VALUE. IF PRINCIPAL CUSTODY SOLUTIONS DOES NOT RECEIVE AN UPDATED VALUE, OR IS UNABLE TO USE THE VALUE PROVIDED, THE LAST REPORTED VALUE WILL CONTINUE TO BE REPORTED. VALUES OBTAINED FROM THE CUSTOMER OR THEIR DESIGNATED REPRESENTATIVE SHOULD NOT BE CONSIDERED TO BE CERTIFIED BY PRINCIPAL BANK/PRINCIPAL TRUST COMPANY, AS APPLICABLE.

SPECIAL INVESTMENTS

"SPECIAL INVESTMENTS" ARE ASSETS NOT HELD IN CUSTODY BY PRINCIPAL BANK/PRINCIPAL TRUST COMPANY BUT WHOSE VALUE MAY BE SHOWN ON ACCOUNT STATEMENTS. EXAMPLES OF SPECIAL INVESTMENTS INCLUDE, BUT ARE NOT LIMITED TO, COMMON OR COLLECTIVE FUNDS NOT ADMINISTERED BY PRINCIPAL BANK/PRINCIPAL TRUST COMPANY (OR THEIR AFFILIATES), HEDGE FUNDS, LIMITED PARTNERSHIPS, AND OTHER UNREGISTERED SECURITIES. AT THE DIRECTION OF AND AS A MATTER OF CONVENIENCE TO ITS CLIENTS, PRINCIPAL BANK/PRINCIPAL TRUST COMPANY MAY BE REPORT SPECIAL INVESTMENTS AS A RECORDKEEPING ITEM ON ACCOUNT STATEMENTS, AT NOMINAL VALUE OR SUCH OTHER VALUE PROVIDED BY CLIENTS/EXTERNAL SOURCES. NEITHER PRINCIPAL BANK NOR PRINCIPAL TRUST COMPANY IS RESPONSIBLE FOR THE ACCURACY OF INFORMATION PROVIDED BY EXTERNAL SOURCES, AND DOES NOT CERTIFY THAT INFORMATION PROVIDED BY THESE EXTERNAL SOURCES IS TRUE OR CORRECT FOR THE SPECIAL INVESTMENTS REFLECTED IN YOUR ACCOUNT.

TRADE CONFIRMS

PURSUANT TO FEDERAL REGULATION, MONTHLY OR QUARTERLY ACCOUNT STATEMENTS THAT INCLUDE INVESTMENT TRANSACTION DETAILS MAY BE PROVIDED IN LIEU OF SEPARATE TRADE CONFIRMATIONS. SEPARATE TRADE CONFIRMS MAY BE OBTAINED AT NO ADDITIONAL COST UPON WRITTEN REQUEST TO THE ACCOUNT MANAGER.

UNCLAIMED PROPERTY DESIGNATED REPRESENTATIVE NOTIFICATION

YOUR PROPERTY MAY BE TRANSFERRED TO THE APPROPRIATE STATE IF NO ACTIVITY OCCURS IN THE ACCOUNT WITHIN THE TIME PERIOD SPECIFIED BY STATE LAW. IF YOUR STATE OF RESIDENCE ALLOWS, YOU MAY DESIGNATE A REPRESENTATIVE FOR THE PURPOSE OF RECEIVING NOTICE OF ACCOUNT INACTIVITY BY PROVIDING THE NAME AND MAILING OR EMAIL ADDRESS OF A REPRESENTATIVE. THE DESIGNATED REPRESENTATIVE DOES NOT HAVE ANY RIGHTS TO YOUR ACCOUNT. PLEASE REFER TO YOUR STATE'S UNCLAIMED PROPERTY WEBSITE FOR MORE INFORMATION AND INSTRUCTIONS ON HOW TO DESIGNATE A REPRESENTATIVE FOR NOTICE.

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AS OF SEPTEMBER 30, 2022

WATERFORD OPEB
ACCOUNT NUMBER 26005100

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RECONCILIATION OF MARKET VALUE
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022WATERFORD OPER
ACCOUNT NUMBER 26005100

BEGINNING MARKET VALUE	8,465,846.58
RECEIPTS	
CONTRIBUTIONS	
EMPLOYER CONTRIBUTION	1,143,311.00
TOTAL EMPLOYER CONTRIBUTION	1,143,311.00
TOTAL CONTRIBUTIONS	1,143,311.00
INCOME	
INTEREST	2,009.36
DIVIDENDS	33,292.89
NET CHANGE IN ACCRUED INCOME	1,155.13
TOTAL INCOME	36,457.38
UNREALIZED GAIN / LOSS	-601,029.37
TOTAL RECEIPTS	578,739.01
DISBURSEMENTS	
EXPENSES	
INVESTMENT MANAGEMENT FEE	-11,746.26
ADMINISTRATIVE EXPENSE	-1,983.73
TOTAL EXPENSES	-13,729.99
TOTAL DISBURSEMENTS	-13,729.99
ENDING MARKET VALUE	9,030,855.60

RECONCILEMENT OF CASH BALANCES
 FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022
 WATERFORD OPEB
 ACCOUNT NUMBER 26005100

BEGINNING CASH BALANCE		0.00
RECEIPTS		
CONTRIBUTIONS		
EMPLOYER CONTRIBUTION	1,143,311.00	1,143,311.00
TOTAL EMPLOYER CONTRIBUTION		1,143,311.00
TOTAL CONTRIBUTIONS		
INCOME		
INTEREST	2,009.36	
DIVIDENDS	33,292.89	
TOTAL INCOME		35,302.25
PROCEEDS FROM DISPOSITIONS		573,457.01
TOTAL RECEIPTS		1,752,070.26
DISBURSEMENTS		
EXPENSES		
INVESTMENT MANAGEMENT FEE	-11,746.26	
ADMINISTRATIVE EXPENSE	-1,983.73	
TOTAL EXPENSES		-13,729.99
COST OF ACQUISITIONS		-1,738,340.27
TOTAL DISBURSEMENTS		-1,752,070.26
ENDING CASH BALANCE		0.00

RECONCILEMENT OF COST VALUE THROUGH SEPTEMBER 30, 2022 WATERFORD OPFB ACCOUNT NUMBER 26005100
 FOR THE PERIOD JULY 1, 2022

BEGINNING COST VALUE	9,391,571.08
RECEIPTS	
CONTRIBUTIONS	
EMPLOYER CONTRIBUTION	1,143,311.00
TOTAL EMPLOYER CONTRIBUTION	1,143,311.00
TOTAL CONTRIBUTIONS	
INCOME	
INTEREST	2,009.36
DIVIDENDS	33,292.89
NET CHANGE IN ACCRUED INCOME	1,155.13
TOTAL INCOME	36,457.38
TOTAL RECEIPTS	1,179,768.38
DISBURSEMENTS	
EXPENSES	
INVESTMENT MANAGEMENT FEE	-11,746.26
ADMINISTRATIVE EXPENSE	-1,983.73
TOTAL EXPENSES	-13,729.99
TOTAL DISBURSEMENTS	-13,729.99
ENDING COST VALUE	10,557,609.47

CHANGE IN UNREALIZED GAIN/LOSS FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022 WATERFORD OPFB ACCOUNT NUMBER 26005100

	UNREALIZED GAIN/LOSS
ENDING UNREALIZED GAIN LOSS	
ACCOUNT MARKET VALUE - CURRENT PERIOD	9,030,855.60
ACCOUNT COST VALUE - CURRENT PERIOD	-10,557,609.47

	-1,526,753.87
BEGINNING UNREALIZED GAIN/LOSS	
ACCOUNT MARKET VALUE - PRIOR PERIOD	-8,465,846.58
ACCOUNT COST VALUE - PRIOR PERIOD	9,391,571.08

	925,724.50
LESS BEGINNING UNREALIZED GAIN/LOSS FOR ASSETS RECEIVED	
OTHER SECURITY RECEIPTS AT MARKET	0.00
OTHER SECURITY RECEIPTS AT COST	0.00

	0.00
PLUS BEGINNING UNREALIZED GAIN/LOSS FOR ASSETS DELIVERED	
OTHER SECURITY DISBURSEMENTS AT MARKET	0.00
OTHER SECURITY DISBURSEMENTS AT COST	0.00

	0.00
NET CHANGE IN UNREALIZED GAIN/LOSS	-----
	-601,029.37

SUMMARY OF NET INCOME EARNED
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022
WATERFORD OPEB
ACCOUNT NUMBER 26005100

INCOME

INTEREST
DIVIDENDS

2,009.36
33,292.89

GROSS INCOME COLLECTED
LESS: EXPENSE OF INCOME

35,302.25
0.00

NET INCOME COLLECTED

35,302.25

ADJUSTMENTS

CURRENT ACCRUED INCOME
LESS: PRIOR ACCRUED INCOME

1,156.41
-1.28

NET CHANGE IN ACCRUED INCOME

1,155.13

NET INCOME EARNED

36,457.38

NET FUND ADJUSTMENT	
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022	
WATERFORD OPER	
ACCOUNT NUMBER 26005100	
UNREALIZED GAIN / LOSS	-601,029.37
REALIZED GAIN / LOSS	0.00
NET INCOME:	
INTEREST	2,009.36
DIVIDENDS	33,292.89
REAL ESTATE AND MIA INCOME	0.00
OTHER INCOME	0.00
EXPENSE OF INCOME COLLECTED	0.00
NET CHANGE IN ACCRUED INCOME	1,155.13
ADMINISTRATIVE AND OTHER EXPENSES	-13,729.99
NET FUND ADJUSTMENT	-578,301.98

BALANCE SHEET
 FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022
 WATERFORD OPEB
 ACCOUNT NUMBER 26005100

	BEGINNING HISTORICAL COST/ REVALUED COST	ENDING HISTORICAL COST/ REVALUED COST	% TOTAL COST	BEGINNING MARKET VALUE	ENDING MARKET VALUE	% TOTAL MARKET
CASH	0.00 0.00	0.00 0.00	0.00	0.00	0.00	0.00
CASH EQUIVALENTS	1,709.47 1,709.47	573,299.84 573,299.84	5.43	1,709.47	573,299.84	6.35
MUTUAL FUNDS	9,389,860.33 8,464,135.83	9,983,153.22 9,057,428.72	94.57	8,464,135.83	8,456,399.35	93.65
TOTAL ASSETS	9,391,569.80 8,465,845.30	10,556,453.06 9,630,728.56	100.00	8,465,845.30	9,029,699.19	100.00
PENDING CASH	0.00 0.00	0.00 0.00	0.00	0.00	0.00	0.00
ACCRUED INCOME	1.28 1.28	1,156.41 1,156.41		1.28	1,156.41	
TOTAL ACCOUNT	9,391,571.08 8,465,846.58	10,557,609.47 9,631,884.97		8,465,846.58	9,030,855.60	

SUMMARY OF ASSETS
AS OF SEPTEMBER 30, 2022

WATERFORD OPEB
ACCOUNT NUMBER 26005100

	<u>COST VALUE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>% TOTAL MARKET</u>	<u>ESTIMATED ANNUAL INCOME</u>	<u>CURRENT YIELD</u>	<u>ACCRUED INCOME</u>
CASH	0.00	0.00					
CASH EQUIVALENTS	573,299.84	573,299.84	0.00	6.35	15,305.29	2.67	1,156.41
MUTUAL FUNDS	9,983,153.22	8,456,399.35	1,526,753.87-	93.64	204,303.63	2.42	0.00
TOTAL ASSETS	10,556,453.06	9,029,699.19	1,526,753.87-	99.99	219,608.92	2.43	1,156.41
ACCRUED INCOME	1,156.41	1,156.41		0.01			
NET PENDING TRADES	0.00	0.00		0.00			
TOTAL ACCOUNT	10,557,609.47	9,030,855.60	1,526,753.87-	100.00	219,608.92	2.43	1,156.41

STATEMENT OF ASSETS AND LIABILITIES
AS OF SEPTEMBER 30, 2022

WATERFORD OPEB
ACCOUNT NUMBER 26005100

PAR VALUE/SHARES	DESCRIPTION	COST VALUE / UNIT COST	MARKET VALUE / UNIT PRICE	PRICING DATE	UNREALIZED GAIN/LOSS	ACCRUED INCOME
<u>CASH EQUIVALENTS</u>						
OTHER CASH EQUIVALENTS						
PROPRIETARY FUNDS						
573,299.840	ALLSPRING GOVERNMENT MONEY MARKET FUND INSTL CLASS - #1751 VP4560000	573,299.84 100.0000	573,299.84 100.0000	9/30/22	0.00	1,156.41
	TOTAL PROPRIETARY FUNDS	573,299.84	573,299.84		0.00	1,156.41
	TOTAL OTHER CASH EQUIVALENTS	573,299.84	573,299.84		0.00	1,156.41
	TOTAL CASH EQUIVALENTS	573,299.84	573,299.84		0.00	1,156.41
<u>MUTUAL FUNDS</u>						
MUTUAL FUNDS - EQUITY						
22,565.515	EUROPACIFIC GROWTH FUND CLASS R6 #2616 298706821	1,232,361.96 54.6126	986,564.32 43.7200	9/30/22	245,797.64-	0.00
21,886.382	BARON EMERGING MARKETS FUND CLASS INS #1575 06828M876	391,262.72 17.8770	262,855.45 12.0100	9/30/22	128,407.27-	0.00
2,860.137	CONESTOGA SMALL CAP FUND CLASS INST 207019704	227,768.78 79.6356	168,919.69 59.0600	9/30/22	58,849.09-	0.00
26,139.456	DODGE & COX INTERNATIONAL STOCK FUND #1048 256206103	1,230,414.72 47.0712	993,299.33 38.0000	9/30/22	237,115.39-	0.00

STATEMENT OF ASSETS AND LIABILITIES
AS OF SEPTEMBER 30, 2022

WATERFORD OPEB
ACCOUNT NUMBER 26005100

PAR VALUE/SHARES	DESCRIPTION	COST VALUE / UNIT COST	MARKET VALUE / UNIT PRICE	PRICING DATE	UNREALIZED GAIN/LOSS	ACCRUED INCOME
24,062.909	FIDELITY MID CAP INDEX FUND CLASS FAI #2352 316146265	704,549.03 29.2795	578,472.33 24.0400	9/30/22	126,076.70-	0.00
17,979.371	FIDELITY 500 INDEX FUND CLASS AI #2328 315911750	2,493,085.80 138.6637	2,246,162.82 124.9300	9/30/22	246,922.98-	0.00
15,204.287	HOTCHKIS & WILEY SMALL CAP DIVERSIFIED VALUE FUND CLASS I #1131 44134R651	204,486.89 13.4493	171,504.36 11.2800	9/30/22	32,982.53-	0.00
	TOTAL MUTUAL FUNDS - EQUITY	6,483,929.90	5,407,778.30		1,076,151.60-	0.00
	MUTUAL FUNDS - CORPORATE BONDS					
72,766.963	BAIRD AGGREGATE BOND FUND- INSTITUTIONAL SHARES #72 057071854	824,535.65 11.3312	689,830.81 9.4800	9/30/22	134,704.84-	0.00
118,995.586	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO CLASS K #1944 09260B374	1,191,491.50 10.0129	1,099,519.21 9.2400	9/30/22	91,972.29-	0.00
79,938.964	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS PLN #712 592905764	820,660.75 10.2661	672,286.69 8.4100	9/30/22	148,374.06-	0.00
34,405.869	PGIM GLOBAL TOTAL RETURN FUND CLASS R6 74439A509	228,849.70 6.6515	163,771.94 4.7600	9/30/22	65,077.76-	0.00
	TOTAL MUTUAL FUNDS - CORPORATE BONDS	3,065,537.60	2,625,408.65		440,128.95-	0.00
	MUTUAL FUNDS - BALANCED					

STATEMENT OF ASSETS AND LIABILITIES
AS OF SEPTEMBER 30, 2022

WATERFORD OPEB
ACCOUNT NUMBER 26005100

PAR VALUE/SHARES	DESCRIPTION	COST VALUE / UNIT COST	MARKET VALUE / UNIT PRICE	PRICING DATE	UNREALIZED GAIN/LOSS	ACCRUED INCOME
37,922.258	PRINCIPAL DIVERSIFIED REAL ASSET FUND CLASS R6 #4398 742537467	433,685.72 11.4362	423,212.40 11.1600	9/30/22	10,473.32-	0.00
	TOTAL MUTUAL FUNDS - BALANCED	433,685.72	423,212.40		10,473.32-	0.00
	TOTAL MUTUAL FUNDS	9,983,153.22	8,456,399.35		1,526,753.87-	0.00
	TOTAL ASSETS AND LIABILITIES	10,556,453.06	9,029,699.19		1,526,753.87-	1,156.41
	ACCRUED INCOME	1,156.41	1,156.41			
	TOTAL ACCOUNT	10,557,609.47	9,030,855.60		1,526,753.87-	

SCHEDULE OF INCOME EARNED
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

<u>ACTIVITY DATE</u>	<u>DESCRIPTION</u>	<u>PAR VALUE SHARES</u>	<u>NET INCOME COLLECTED</u>	<u>PRIOR ACCRUED INCOME</u>	<u>CURRENT ACCRUED INCOME</u>	<u>EARNED INCOME</u>
CASH EQUIVALENTS						
	ALLSPRING GOVERNMENT MONEY MARKET FUND INSTL CLASS - #1751 CUSIP VF4560000					
06/30/22	PRIOR ACCRUED INCOME	1,709.47		1.28		
07/01/22	INCOME RECEIPT RECEIVED	0.00	1.28			
08/01/22	INCOME RECEIPT RECEIVED	0.00	546.07			
09/01/22	INCOME RECEIPT RECEIVED	0.00	1,462.01			
09/30/22	CURRENT ACCRUED INCOME	573,299.84			1,156.41	
	TOTAL		2,009.36	1.28	1,156.41	3,164.49
	CASH EQUIVALENTS TOTAL		2,009.36	1.28	1,156.41	3,164.49
MUTUAL FUNDS						
	BAIRD AGGREGATE BOND FUND- INSTITUTIONAL SHARES #72 CUSIP 057071854					
06/30/22	PRIOR ACCRUED INCOME	71,302.31		0.00		
07/26/22	INCOME RECEIPT RECEIVED	0.00	1,491.86			
08/24/22	INCOME RECEIPT RECEIVED	0.00	1,585.15			
09/26/22	INCOME RECEIPT RECEIVED	0.00	1,682.32			
09/30/22	CURRENT ACCRUED INCOME	72,766.96			0.00	
	TOTAL		4,759.33	0.00	0.00	4,759.33
	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO CLASS K #1944 CUSIP 09260B374					
06/30/22	PRIOR ACCRUED INCOME	117,959.00		0.00		
08/01/22	INCOME RECEIPT RECEIVED	0.00	3,321.12			
09/01/22	INCOME RECEIPT RECEIVED	0.00	3,731.83			
10/03/22	INCOME RECEIPT RECEIVED	0.00	2,755.12			
09/30/22	CURRENT ACCRUED INCOME	118,995.59			0.00	
	TOTAL		9,808.07	0.00	0.00	9,808.07

SCHEDULE OF INCOME EARNED
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

ACTIVITY DATE	DESCRIPTION	PAR VALUE SHARES	NET INCOME COLLECTED	PRIOR ACCRUED INCOME	CURRENT ACCRUED INCOME	EARNED INCOME
	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS PLN #712 CUSIP 592905764					
06/30/22	PRIOR ACCRUED INCOME	79,252.49		0.00		
08/01/22	INCOME RECEIPT RECEIVED	0.00	1,770.98			
09/01/22	INCOME RECEIPT RECEIVED	0.00	1,753.98			
10/03/22	INCOME RECEIPT RECEIVED	0.00	2,489.64			
09/30/22	CURRENT ACCRUED INCOME	79,938.96			0.00	
	TOTAL		6,014.60	0.00	0.00	6,014.60
	PRINCIPAL DIVERSIFIED REAL ASSET FUND CLASS R6 #4398 CUSIP 742537467					
06/30/22	PRIOR ACCRUED INCOME	37,001.39		0.00		
09/21/22	INCOME RECEIPT RECEIVED	0.00	11,041.21		0.00	
09/30/22	CURRENT ACCRUED INCOME	37,922.26			0.00	
	TOTAL		11,041.21	0.00	0.00	11,041.21
	PGIM GLOBAL TOTAL RETURN FUND CLASS R6 CUSIP 74439A509					
06/30/22	PRIOR ACCRUED INCOME	30,301.35		0.00		
08/01/22	INCOME RECEIPT RECEIVED	0.00	532.90			
09/01/22	INCOME RECEIPT RECEIVED	0.00	572.69			
10/03/22	INCOME RECEIPT RECEIVED	0.00	564.09			
09/30/22	CURRENT ACCRUED INCOME	34,405.87			0.00	
	TOTAL		1,669.68	0.00	0.00	1,669.68
	MUTUAL FUNDS TOTAL		33,292.89	0.00	0.00	33,292.89
	GRAND TOTAL		35,302.25	1.28	1,156.41	36,457.38

SCHEDULE OF SECURITY ACQUISITIONS
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

WATERFORD OPFB
ACCOUNT NUMBER 26005100

<u>DATE</u>	<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>BROKER COMMISSION</u>	<u>CASH</u>	<u>COST VALUE</u>
CASH EQUIVALENTS					
		ALLSPRING GOVT MM FD-INSTL #1751 CUSIP VP4560000			
9/30/22	1,145,047.380	CASH SWEEP PURCHASES FOR THE PERIOD 7/01/22 TO 9/30/22	0.00	1,145,047.38-	1,145,047.38
	-----	ASSET TOTAL	0.00	1,145,047.38-	1,145,047.38
		TOTAL CASH EQUIVALENTS	0.00	1,145,047.38-	1,145,047.38
MUTUAL FUNDS					
		AMER FND5 EUROPAC GROW-R6 #2616 CUSIP 298706821			
8/02/22	2,400.480	PURCHASED 2,400.48 SHARES/UNITS AT \$49.99 ON TRADE DATE 8/02/22 TO SETTLE 8/03/22 COMMISSION \$0.00 2,400.48 SHARES AT 49.99 USD	0.00	120,000.00-	120,000.00
9/06/22	845.666	PURCHASED 845.666 SHARES/UNITS AT \$47.30 ON TRADE DATE 9/06/22 TO SETTLE 9/07/22 COMMISSION \$0.00 845.666 SHARES AT 47.30 USD	0.00	40,000.00-	40,000.00
	-----	ASSET TOTAL	0.00	160,000.00-	160,000.00
		BAIRD AGGREGATE BOND FUND-INST #72 CUSIP 057071854			
7/25/22	147.271	PURCHASED 147.271 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 7/26/22	0.00	1,491.86-	1,491.86

WATERFORD OFEB
ACCOUNT NUMBER 26005100

SCHEDULE OF SECURITY ACQUISITIONS
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

<u>DATE</u>	<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>BROKER COMMISSION</u>	<u>CASH</u>	<u>COST VALUE</u>
8/02/22	983.284	PURCHASED 983.284 SHARES/UNITS AT \$10.17 ON TRADE DATE 8/02/22 TO SETTLE 8/03/22 COMMISSION \$0.00 983.284 SHARES AT 10.17 USD	0.00	10,000.00-	10,000.00
8/23/22	158.674	PURCHASED 158.674 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 8/24/22	0.00	1,585.15-	1,585.15
9/23/22	175.424	PURCHASED 175.424 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 9/26/22	0.00	1,682.32-	1,682.32
	1,464.653	ASSET TOTAL	0.00	14,759.33-	14,759.33
		BARON EMERGING MARKETS-INS #1575 CUSIP 06828M876			
8/02/22	2,967.359	PURCHASED 2,967.359 SHARES/UNITS AT \$13.48 ON TRADE DATE 8/02/22 TO SETTLE 8/03/22 COMMISSION \$0.00 2,967.359 SHARES AT 13.48 USD	0.00	40,000.00-	40,000.00
9/06/22	1,915.709	PURCHASED 1,915.709 SHARES/UNITS AT \$13.05 ON TRADE DATE 9/06/22 TO SETTLE 9/07/22 COMMISSION \$0.00 1,915.709 SHARES AT 13.05 USD	0.00	25,000.00-	25,000.00
	4,883.068	ASSET TOTAL	0.00	65,000.00-	65,000.00
		BLACKROCK STRAT INC OPP-K #1944 CUSIP 09260B374			

WATERFORD OFEB
ACCOUNT NUMBER 26005100

SCHEDULE OF SECURITY ACQUISITIONS
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

<u>DATE</u>	<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>BROKER COMMISSION</u>	<u>CASH</u>	<u>COST VALUE</u>
7/31/22	345.590	PURCHASED 345.59 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 8/01/22	0.00	3,321.12-	3,321.12
8/31/22	392.824	PURCHASED 392.824 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 9/01/22	0.00	3,731.83-	3,731.83
9/30/22	298.173	PURCHASED 298.173 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 10/03/22	0.00	2,755.12-	2,755.12
	----- 1,036.587	ASSET TOTAL	----- 0.00	----- 9,808.07-	----- 9,808.07
		CONESTOGA SMALL CAP-INST CUSIP 207019704			
8/02/22	222.552	PURCHASED 222.552 SHARES/UNITS AT \$67.40 ON TRADE DATE 8/02/22 TO SETTLE 8/03/22 COMMISSION \$0.00 222.552 SHARES AT 67.40 USD	0.00	15,000.00-	15,000.00
	----- 222.552	ASSET TOTAL	----- 0.00	----- 15,000.00-	----- 15,000.00
		DODGE & COX INT'L STOCK FD #1048 CUSIP 256206103			
8/02/22	1,758.087	PURCHASED 1,758.087 SHARES/UNITS AT \$42.66 ON TRADE DATE 8/02/22 TO SETTLE 8/03/22 COMMISSION \$0.00 1,758.087 SHARES AT 42.66 USD	0.00	75,000.00-	75,000.00

WATERFORD OPEB
ACCOUNT NUMBER 26005100

SCHEDULE OF SECURITY ACQUISITIONS
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

<u>DATE</u>	<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>BROKER COMMISSION</u>	<u>CASH</u>	<u>COST VALUE</u>
9/06/22	973.710	PURCHASED 973.71 SHARES/UNITS AT \$41.08 ON TRADE DATE 9/06/22 TO SETTLE 9/07/22 COMMISSION \$0.00 973.71 SHARES AT 41.08 USD	0.00	40,000.00-	40,000.00
	2,731.797	ASSET TOTAL	0.00	115,000.00-	115,000.00
		FIDELITY MID CAP INDEX-FAI #2352 CUSIP 316146265			
9/06/22	1,148.106	PURCHASED 1,148.106 SHARES/UNITS AT \$26.13 ON TRADE DATE 9/06/22 TO SETTLE 9/07/22 COMMISSION \$0.00 1,148.106 SHARES AT 26.13 USD	0.00	30,000.00-	30,000.00
	1,148.106	ASSET TOTAL	0.00	30,000.00-	30,000.00
		FIDELITY 500 INDEX FD-AI #2328 CUSIP 315911750			
9/06/22	955.742	PURCHASED 955.742 SHARES/UNITS AT \$136.02 ON TRADE DATE 9/06/22 TO SETTLE 9/07/22 COMMISSION \$0.00 955.742 SHARES AT 136.02 USD	0.00	130,000.00-	130,000.00
	955.742	ASSET TOTAL	0.00	130,000.00-	130,000.00
		HOTCHKIS & WILEY S/C DIV-I #1131 CUSIP 44134R651			

SCHEDULE OF SECURITY ACQUISITIONS
 FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

WATERFORD OPEB
 ACCOUNT NUMBER 26005100

<u>DATE</u>	<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>BROKER COMMISSION</u>	<u>CASH</u>	<u>COST VALUE</u>
9/06/22	1,225.490	PURCHASED 1,225.49 SHARES/UNITS AT \$12.24 ON TRADE DATE 9/06/22 TO SETTLE 9/07/22 COMMISSION \$0.00 1,225.49 SHARES AT 12.24 USD	0.00	15,000.00-	15,000.00
	----- 1,225.490	ASSET TOTAL	----- 0.00	----- 15,000.00-	----- 15,000.00
		METROPOLITAN WEST T/R BD-PLN #712 CUSIP 592905764			
7/31/22	192.917	PURCHASED 192.917 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 8/01/22	0.00	1,770.98-	1,770.98
8/31/22	197.520	PURCHASED 197.52 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 9/01/22	0.00	1,753.98-	1,753.98
9/30/22	296.033	PURCHASED 296.033 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 10/03/22	0.00	2,489.64-	2,489.64
	----- 686.470	ASSET TOTAL	----- 0.00	----- 6,014.60-	----- 6,014.60
		PGIM GLOBAL TOTAL RETURN-R6 CUSIP 74439A509			
7/31/22	100.358	PURCHASED 100.358 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 8/01/22	0.00	532.90-	532.90

SCHEDULE OF SECURITY ACQUISITIONS
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

WATERFORD OPEB
ACCOUNT NUMBER 26005100

<u>DATE</u>	<u>PAR VALUE / SHARES</u>	<u>DESCRIPTION</u>	<u>BROKER COMMISSION</u>	<u>CASH</u>	<u>COST VALUE</u>
8/02/22	3,773.585	PURCHASED 3,773.585 SHARES/UNITS AT \$5.30 ON TRADE DATE 8/02/22 TO SETTLE 8/03/22 COMMISSION \$0.00 3,773.585 SHARES AT 5.30 USD	0.00	20,000.00-	20,000.00
8/31/22	112.072	PURCHASED 112.072 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 9/01/22	0.00	572.69-	572.69
9/30/22	118.506	PURCHASED 118.506 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 10/03/22	0.00	564.09-	564.09
	4,104.521	ASSET TOTAL	0.00	21,669.68-	21,669.68
		PRINCIPAL DIVER RL ASST-R6 #4398 CUSIP 742537467			
9/20/22	920.868	PURCHASED 920.868 SHARES/UNITS UNDER TERMS OF DIVIDEND REINVESTMENT PAYABLE 9/20/22	0.00	11,041.21-	11,041.21
	920.868	ASSET TOTAL	0.00	11,041.21-	11,041.21
		TOTAL MUTUAL FUNDS	0.00	593,292.89-	593,292.89
		TOTAL SECURITY ACQUISITIONS	0.00	1,738,340.27-	1,738,340.27

WATERFORD OPEB
ACCOUNT NUMBER 26005100

SCHEDULE OF SECURITY DISPOSITIONS
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

<u>TRADE DATE</u>	<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>BROKER COMMISSION</u>	<u>TRANSACTION PROCEEDS</u>	<u>COST VALUE</u>	<u>REALIZED GAIN/LOSS</u>
		CASH EQUIVALENTS				
		ALLSPRING GOVT MM FD-INSTL #1751 CUSIP VP4560000				
9/30/22	573,457.010-	CASH SWEEP SALES 7/01/22 TO 9/30/22	0.00	573,457.01	573,457.01-	0.00
	573,457.010-	ASSET TOTAL	0.00	573,457.01	573,457.01-	0.00
		TOTAL CASH EQUIVALENTS	0.00	573,457.01	573,457.01-	0.00
		TOTAL SECURITY DISPOSITIONS	0.00	573,457.01	573,457.01-	0.00

WATERFORD OPEB
ACCOUNT NUMBER 26005100

PENDING TRADES SCHEDULE
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

<u>TRADE DATE</u>	<u>PAR VALUE/SHARE</u>	<u>DESCRIPTION</u>	<u>UNIT PRICE</u>	<u>PROCEEDS</u>	<u>COST</u>	<u>REALIZED GAIN/LOSS</u>
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***** NO ACTIVITY FOR THIS PERIOD *****

WATERFORD OPEB
ACCOUNT NUMBER 26005100

SCHEDULE OF OTHER SECURITY CHANGES
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

<u>DATE</u>	<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE</u>	<u>MARKET VALUE</u>	<u>UNREALIZED GAIN/LOSS</u>
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***** NO ACTIVITY FOR THIS PERIOD *****

SCHEDULE OF CONTRIBUTIONS AND OTHER CASH RECEIPTS
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

<u>DATE</u>	<u>DESCRIPTION</u>	<u>CASH</u>
	<u>CONTRIBUTIONS</u>	
	EMPLOYER CONTRIBUTIONS	
	EMPLOYER CONTRIBUTIONS	
7/21/22	CASH RECEIPT	1,143,311.00
	EMPLOYER CONTRIBUTION	
	CONTRIBUTION FOR FISCAL YEAR 2023	
	TOTAL EMPLOYER CONTRIBUTIONS	----- 1,143,311.00
	TOTAL EMPLOYER CONTRIBUTIONS	1,143,311.00
	TOTAL CONTRIBUTIONS	1,143,311.00
	TOTAL CONTRIBUTIONS AND OTHER CASH RECEIPTS	----- 1,143,311.00 -----

WATERFORD OPEB
ACCOUNT NUMBER 26005100

SCHEDULE OF BENEFIT PAYMENTS AND OTHER CASH DISBURSEMENTS
FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

<u>DATE</u>	<u>DESCRIPTION</u>	<u>CASH</u>
	EXPENSES	
	INVESTMENT MANAGEMENT EXPENSES	
8/04/22	CASH DISBURSEMENT	11,746.26-
	PAID TO FIDUCIARY ADVISORS	
	INVESTMENT MANAGEMENT FEE ACH	
	PRD 4/1-6/30/2022 INVOICE# 1250 06302022	
	TOTAL INVESTMENT MANAGEMENT EXPENSES	----- 11,746.26-
	ADMINISTRATIVE EXPENSES	
	BANK FEE	
7/20/22	FEE	1,983.73-
	BANK FEE	
	TOTAL BANK FEE	----- 1,983.73-
	TOTAL ADMINISTRATIVE EXPENSES	----- 1,983.73-
	TOTAL EXPENSES	----- 13,729.99-
	TOTAL BENEFIT PAYMENTS AND OTHER CASH DISBURSEMENTS	----- 13,729.99-

BOND MATURITY SCHEDULE
AS OF SEPTEMBER 30, 2022

WATERFORD OPEB
ACCOUNT NUMBER 26005100

<u>MATURITY</u> <u>YEAR</u>	<u>PAR VALUE</u>	<u>COST VALUE</u>	<u>MARKET VALUE</u>	<u>% OF</u> <u>PAR VALUE</u>	<u>CUMULATIVE %</u> <u>PAR VALUE</u>	<u>% OF</u> <u>MARKET VALUE</u>	<u>CUMULATIVE %</u> <u>MARKET VALUE</u>
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***** NO POSITIONS QUALIFY FOR THIS REPORTING PERIOD *****

SCHEDULE OF BROKER COMMISSIONS
 FOR THE PERIOD JULY 1, 2022 THROUGH SEPTEMBER 30, 2022

WATERFORD OFEB
 ACCOUNT NUMBER 26005100

<u>BROKER</u>	<u>FAR VALUE/ SHARES</u>	<u>COMMISSION</u>	<u>TOTAL TRANSACTION AMOUNT</u>	<u>% OF COMMISSION TO TRANSACTION AMOUNT</u>	<u>COMMISSION PER SHARE IN CENTS</u>
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***** NO ACTIVITY FOR THIS PERIOD *****

INVESTMENT AND INSURANCE PRODUCTS ARE:
 • NOT INSURED BY THE FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) OR ANY FEDERAL GOVERNMENT AGENCY
 • NOT A DEPOSIT, OBLIGATION OF, OR GUARANTEED BY ANY BANK OR BANKING AFFILIATE
 • SUBJECT TO INVESTMENT RISKS AND MAY LOSE VALUE, INCLUDING POSSIBLE LOSS OF PRINCIPAL AMOUNT INVESTED